Vote 4

Home Affairs

Adjusted budget summary

	2011/12			
R thousand	Main appropriation	Adjusted appropriation	Decrease	Increase
Amount to be appropriated	**5 464 134**	**5 850 814**	–	386 680
of which:				
Current payments	4 437 386	4 798 777	–	361 391
Transfers and subsidies	1 000 072	1 036 966	–	36 894
Payments for capital assets	26 676	15 071	(11 605)	–
Executive authority	Minister of Home Affairs			
Accounting officer	Director-General of Home Affairs			
Website address	www.dha.gov.za			

Aim

Efficiently determine and safeguard the identity and status of citizens. Regulate migration to ensure security, promote development and fulfil our international obligations.

Mid-year performance

Indicator	Programme	Annual performance		
As published in the 2011 ENE	**Programme linked to the indicator**	**Projected for 2011/12 as published in the 2011 ENE**	**Achieved in the first six months of 2011/12 (April to September)[1]**	**Changed estimate for 2011/12**
Percentage of machine readable passports (manual process) issued within 24 days	Citizens Affairs	95% (692 948)	98% (250 082)	
Percentage of machine readable passports (live capture process) issued within 13 days	Citizens Affairs	97% (341 302)	99% (94 756)	
Percentage of identity documents (first issue) issued within 54 days	Citizens Affairs	95% (1 164 000)	23% (168 907)	
Percentage of identity documents (second issue) issued within 47 days	Citizens Affairs	95% (1 261 000)	21% (148 527)	
Percentage of refugee status determinations (first instance) issued within 6 months	Immigration Affairs	75% (200 000)	0[2]	
Percentage of permanent residence permits issued within 8 months	Immigration Affairs	70% (2 000)	56% (2 851)	
Percentage of temporary residence permits: work, business and corporate: issued within 8 weeks	Immigration Affairs	70% (116 900)	43% (7 054)	
Number of permanent and temporary residence permits issued per year	Immigration Affairs	193 000	9 905	
Number of arrivals and departures cleared per year	Immigration Affairs	30 500 000	68 288 632	
Number of illegal foreigners deported per year	Immigration Affairs	70 000	12 364	

1. These actuals are based on first quarter data (1 April 2011 – 30 June 2011).
2. The time it takes to finalise the first instance determination cannot be calculated.

Mid-year progress

The turnaround times for issuing passports have improved significantly. The turnaround times for issuing identity documents, however, are far below the target. This has been due to delays arising from problems relating to the quality of laminates used for identity documents and technical problems associated with introducing digital photographs to improve security. The department has taken steps to address both problems and expects turnaround times to improve in the second half of the year.

Efforts are also being made to stabilise and improve the processing of permits. Existing backlogs have been cleared to a large degree, and turnaround times have been improving. Work is also progressing on the administration and adjudication of asylum seekers and refugees. This has been assisted by the amendments to both the Refugees Act (1998) and the Immigration Act (2002), which were assented to by the president in August 2011 and which will enable the department to strengthen the management of processes.

Adjusted Estimates of National Expenditure 2011

Programme	2011/12						
		Adjustments appropriation					
R thousand	Main appropriation	Roll-overs	Unforeseeable/ unavoidable	Virements and shifts	Other adjustments	Total adjustments appropriation	Adjusted appropriation
Administration	1 782 879	–	–	112 273	2 712	114 985	1 897 864
Citizen Affairs	3 093 652	–	40 598	(100 959)	340 888	280 527	3 374 179
Immigration Affairs	587 603	–	–	(11 314)	2 482	(8 832)	578 771
Total	**5 464 134**	**–**	**40 598**	**–**	**346 082**	**386 680**	**5 850 814**
Economic classification							
Current payments	**4 437 386**	**–**	**–**	**15 309**	**346 082**	**361 391**	**4 798 777**
Compensation of employees	2 206 560	–	–	–	19 082	19 082	2 225 642
Goods and services	2 230 826	–	–	15 309	327 000	342 309	2 573 135
Transfers and subsidies	**1 000 072**	**–**	**40 598**	**(3 704)**	**–**	**36 894**	**1 036 966**
Provinces and municipalities	1 245	–	–	–	–	–	1 245
Departmental agencies and accounts	993 650	–	40 598	–	–	40 598	1 034 248
Households	5 177	–	–	(3 704)	–	(3 704)	1 473
Payments for capital assets	**26 676**	**–**	**–**	**(11 605)**	**–**	**(11 605)**	**15 071**
Machinery and equipment	26 676	–	–	(14 742)	–	(14 742)	11 934
Software and other intangible assets	–	–	–	3 137	–	3 137	3 137
Total	**5 464 134**	**–**	**40 598**	**–**	**346 082**	**386 680**	**5 850 814**

Programme 1: Administration

Subprogramme	2011/12						
		Adjustments appropriation					
R thousand	Main appropriation	Roll-overs	Unforeseeable/ unavoidable	Virements and shifts	Other adjustments	Total adjustments appropriation	Adjusted appropriation
Ministry	41 583	–	–	(978)	108	(870)	40 713
Management Support Services	84 395	–	–	12 217	410	12 627	97 022
Corporate Services	462 244	–	–	32 405	1 853	34 258	496 502
Transversal Information Technology Management	853 160	–	–	88 357	341	88 698	941 858
Office Accommodation	341 497	–	–	(19 728)	–	(19 728)	321 769
Total	**1 782 879**	**–**	**–**	**112 273**	**2 712**	**114 985**	**1 897 864**
Economic classification							
Current payments	**1 754 189**	**–**	**–**	**132 832**	**2 712**	**135 544**	**1 889 733**
Compensation of employees	317 263	–	–	(373)	2 712	2 339	319 602
Goods and services	1 436 926	–	–	133 205	–	133 205	1 570 131
Transfers and subsidies	**2 014**	**–**	**–**	**(243)**	**–**	**(243)**	**1 771**
Provinces and municipalities	595	–	–	–	–	–	595
Households	1 419	–	–	(243)	–	(243)	1 176
Payments for capital assets	**26 676**	**–**	**–**	**(20 316)**	**–**	**(20 316)**	**6 360**
Machinery and equipment	26 676	–	–	(20 316)	–	(20 316)	6 360
Total	**1 782 879**	**–**	**–**	**112 273**	**2 712**	**114 985**	**1 897 864**

Programme 2: Citizen Affairs

Subprogramme	2011/12						
		Adjustments appropriation					
R thousand	Main appropriation	Roll-overs	Unforeseeable/ unavoidable	Virements and shifts	Other adjustments	Total adjustments appropriation	Adjusted appropriation
Citizen Affairs Management	39 089	–	–	(14 531)	82	(14 449)	24 640
Status Services	128 225	–	–	(47 049)	327 589	280 540	408 765
Identification Services	240 228	–	–	8 467	857	9 324	249 552
Access to Services	138 473	–	–	(22 348)	328	(22 020)	116 453
Service Delivery to Provinces	1 553 987	–	–	(25 498)	12 032	(13 466)	1 540 521
Film and Publication Board	65 458	–	–	–	–	–	65 458
Government Printing Works	129 002	–	–	–	–	–	129 002
Electoral Commission	799 190	–	40 598	–	–	40 598	839 788
Total	**3 093 652**	**–**	**40 598**	**(100 959)**	**340 888**	**280 527**	**3 374 179**
Economic classification							
Current payments	**2 095 891**	**–**	**–**	**(106 209)**	**340 888**	**234 679**	**2 330 570**
Compensation of employees	1 627 146	–	–	(24 152)	13 888	(10 264)	1 616 882
Goods and services	468 745	–	–	(82 057)	327 000	244 943	713 688
Transfers and subsidies	**997 761**	**–**	**40 598**	**(3 461)**	**–**	**37 137**	**1 034 898**
Provinces and municipalities	650	–	–	–	–	–	650
Departmental agencies and accounts	993 650	–	40 598	–	–	40 598	1 034 248
Households	3 461	–	–	(3 461)	–	(3 461)	–
Payments for capital assets	**–**	**–**	**–**	**8 711**	**–**	**8 711**	**8 711**
Machinery and equipment	–	–	–	5 574	–	5 574	5 574
Software and other intangible assets	–	–	–	3 137	–	3 137	3 137
Total	**3 093 652**	**–**	**40 598**	**(100 959)**	**340 888**	**280 527**	**3 374 179**

Programme 3: Immigration Affairs

Subprogramme	2011/12						
		Adjustments appropriation					
R thousand	Main appropriation	Roll-overs	Unforeseeable/ unavoidable	Virements and shifts	Other adjustments	Total adjustments appropriation	Adjusted appropriation
Immigration Affairs Management	21 442	–	–	39 105	336	39 441	60 883
Admission Services	173 438	–	–	(4 254)	933	(3 321)	170 117
Immigration Services	323 767	–	–	(36 142)	1 062	(35 080)	288 687
Asylum Seekers	68 956	–	–	(10 023)	151	(9 872)	59 084
Total	**587 603**	**–**	**–**	**(11 314)**	**2 482**	**(8 832)**	**578 771**
Economic classification							
Current payments	**587 306**	**–**	**–**	**(11 314)**	**2 482**	**(8 832)**	**578 474**
Compensation of employees	262 151	–	–	24 525	2 482	27 007	289 158
Goods and services	325 155	–	–	(35 839)	–	(35 839)	289 316
Transfers and subsidies	**297**	**–**	**–**	**–**	**–**	**–**	**297**
Households	297	–	–	–	–	–	297
Total	**587 603**	**–**	**–**	**(11 314)**	**2 482**	**(8 832)**	**578 771**

Details of adjustments to Estimates of National Expenditure 2011

Unforeseeable and unavoidable expenditure – R40.598 million

Programme 2: Citizen Affairs

R40.598 million has been allocated to the Electoral Commission for conducting special votes due to changes made in the Local Government: Municipal Electoral Amendment Act (2010).

Virements and shifts

Programmes

1. Administration
2. Citizen Affairs
3. Immigration Affairs

FROM: Programme by economic classification	Motivation	R thousand	TO: Programme by economic classification	Motivation	R thousand
Programme 1		**(20 932)**	**Programme 3**		**373**
Compensation of employees	Vacant posts	(373)	Compensation of employees	To fill priority posts	373
			Programme 1		**20 559**
Machinery and equipment	Reduction on the purchase of motor vehicles	(20 316)	Goods and services	To fund IT related contractual obligations	20 316
Households	Reduction on social benefits, mainly awards and pensions	(243)	Goods and services	To fund contractual obligations for physical security	243
Percentage of programme budget		1.2%			
Programme 2		**(113 131)**	**Programme 3**		**24 152**
Compensation of employees	Vacant posts	(24 152)	Compensation of employees	To fill priority posts	24 152
			Programme 1		**76 807**
Goods and services	Reduction on IT related projects such as the national identification system	(76 807)	Goods and services	To fund contractual obligations for physical security	76 807
			Programme 2		**12 172**
	Funds shifted to payments for capital assets because items cost more than R5 000	(5 574)	Machinery and equipment	Funds shifted to payments for capital assets because items cost more than R5 000	5 574
	Funds shifted to payments for capital assets because items cost more than R5 000	(3 137)	Software and other intangible assets	Funds shifted to payments for capital assets because items cost more than R5 000	3 137
Households	Reduction on social benefits, mainly awards and pensions	(3 461)	Goods and services	To fund the printing of forms and identity documents	3 461
Percentage of programme budget		3.7%			
Programme 3		**(35 839)**	**Programme 1**		**35 839**
Goods and services	Reduction on IT related projects such as the national identification system	(35 839)	Goods and services	To fund contractual obligations for physical security	35 839
Percentage of programme budget		6.1%			
Total		**(169 902)**			**169 902**

Other adjustments – R346.082 million

Adjustments due to significant and unforeseeable economic and financial events

An additional R19.082 million has been allocated for higher personnel remuneration increases than the main budget provided for, as follows:

Programme 1: Administration

R2.712 million

Programme 2: Citizen Affairs

R13.888 million

Programme 3: Immigration Affairs

R2.482 million

Self-financing expenditure

Programme 2: Citizen Affairs

R327 million from the sale of passports will be used for the production and issuing of passports to the public. The receipts for the first six months have been deposited into the National Revenue Fund. The receipts for the remainder of the year will also be deposited into the National Revenue Fund.

Expenditure for 2010/11 and preliminary expenditure for 2011/12

Programme	2010/11 Expenditure outcome					2011/12 Preliminary expenditure		
R thousand	Adjusted appropriation	Apr 10 - Sep 10	Apr 10 - Sep 10 % of adjusted appropriation	Apr 10 - Mar 11	Apr 10 - Mar 11 % of adjusted appropriation	Adjusted appropriation	Apr 11 - Sep 11	Apr 11 - Sep 11 % of adjusted appropriation
Administration	1 466 193	390 100	26.6	1 692 261	115.4	1 897 864	1 038 566	54.7
Citizen Affairs	3 665 957	1 380 132	37.6	3 869 151	105.5	3 374 179	1 565 504	46.4
Immigration Affairs	702 240	294 577	41.9	960 282	136.7	578 771	247 417	42.7
Total	**5 834 390**	**2 064 809**	**35.4**	**6 521 694**	**111.8**	**5 850 814**	**2 851 487**	**48.7**
Economic classification								
Current payments	**4 157 622**	**1 517 912**	**36.5**	**4 487 061**	**107.9**	**4 798 777**	**2 234 070**	**46.6**
Compensation of employees	1 971 086	859 405	43.6	2 051 901	104.1	2 225 642	924 961	41.6
Goods and services	2 186 536	658 507	30.1	2 330 899	106.6	2 573 135	1 309 109	50.9
Interest and rent on land	–	–	0.0	104 261	0.0	–	–	0.0
Transfers and subsidies	**1 596 982**	**507 389**	**31.8**	**1 601 465**	**100.3**	**1 036 966**	**596 777**	**57.6**
Provinces and municipalities	743	262	35.3	634	85.3	1 245	360	28.9
Departmental agencies and accounts	1 591 355	504 599	31.7	1 591 355	100.0	1 034 248	592 575	57.3
Households	4 884	2 528	51.8	9 476	194.0	1 473	3 842	260.8
Payments for capital assets	**79 786**	**39 497**	**49.5**	**404 622**	**507.1**	**15 071**	**20 467**	**135.8**
Machinery and equipment	79 540	26 470	33.3	189 701	238.5	11 934	17 055	142.9
Software and other intangible assets	246	13 027	5295.5	214 921	87366.3	3 137	3 412	108.8
Payments for financial assets	**–**	**11**	**–**	**28 546**	**–**	**–**	**173**	**–**
Total	**5 834 390**	**2 064 809**	**35.4**	**6 521 694**	**111.8**	**5 850 814**	**2 851 487**	**48.7**

Main expenditure trends for the first half of 2011/12

Total expenditure for 2010/11 was 111.8 per cent of the 2010/11 adjusted appropriation. Expenditure in the first six months of 2011/12 was R2.851 billion, or 48.7 per cent of the adjusted appropriation of R5.851 billion for the year as a whole. In comparison, mid-year expenditure in 2010/11 was R2.065 billion, or 35.4 per cent of the 2010/11 adjusted appropriation. Expenditure in the first six months of 2011/12 increased by R786.687 million or 38.1 per cent, compared to expenditure in the first six months of 2010/11.

The main expenditure increase compared to 2010/11 is due to the payment of leases as part of the Who Am I Online, an increase in payment for the printing of passports, and an increase in expenditure for the documentation of Zimbabweans process.

Departmental receipts

R thousand	2010/11 Adjusted estimate	Audited outcome Apr 10 - Sep 10	Apr 10 - Sep 10 % of adjusted estimate	Apr 10 - Mar 11	Apr 10 - Mar 11 % of adjusted estimate	2011/12 Budget estimate	Adjusted estimate	Actual receipts Apr 11 - Sep 11	Apr 11 - Sep 11 % of adjusted estimate
Departmental receipts	**455 256**	**124 007**	**27.2**	**644 752**	**141.6**	**482 575**	**482 575**	**233 265**	**48.3**
Sales of goods and services produced by department	440 031	112 669	25.6	610 765	138.8	466 436	466 436	201 629	43.2
Sales of scrap, waste, arms and other used current goods	–	7	–	12	–	–	–	9	–
Fines, penalties and forfeits	15 014	10 007	66.7	27 021	180.0	15 915	15 915	12 755	80.1
Interest, dividends and rent on land	211	120	56.9	543	257.3	224	224	547	244.2
Transactions in financial assets and liabilities	–	1 204	–	6 411	–	–	–	18 325	–
Total	**455 256**	**124 007**	**27.2**	**644 752**	**141.6**	**482 575**	**482 575**	**233 265**	**48.3**

Main departmental revenue trends for the first half of 2011/12

Departmental revenue collection in the first six months of 2011/12 was R 233.265 million, or 48.3 per cent of the adjusted revenue estimate of R482.575 million for the year as a whole. In comparison, mid-year revenue collection in 2010/11 was R124.007 million, or 27.2 per cent of the 2010/11 adjusted estimate. Departmental revenue collection in the first six months of 2011/12 increased by R109.258 million or 88.1 per cent, compared to revenue in the first six months of 2010/11.

The main revenue increase compared to 2010/11 is due to administrative fees with tariffs that have been revised to align with costs, revenue from foreign missions received from the Department of International Relations and Cooperation relating to the previous financial year, and an increase in fines and penalties resulting from improved control measures at various ports of entry.

Changes to transfers and subsidies, including conditional grants

Summary of changes to transfers and subsidies per programme

R thousand	2011/12 Main appropriation	Adjustments appropriation Roll-overs	Unforeseeable/ unavoidable	Virements and shifts	Other adjustments	Total adjustments appropriation	Adjusted appropriation
Administration							
Households							
Social benefits							
Current	**730**	**–**	**–**	**(243)**	**–**	**(243)**	**487**
Employee social benefits	730	–	–	(243)	–	(243)	487
Citizen Affairs							
Departmental agencies and accounts							
Departmental agencies (non-business entities)							
Current	**799 190**	**–**	**40 598**	**–**	**–**	**40 598**	**839 788**
Electoral Commission	799 190	–	40 598	–	–	40 598	839 788
Households							
Social benefits							
Current	**3 461**	**–**	**–**	**(3 461)**	**–**	**(3 461)**	**–**
Employee social benefits	3 461	–	–	(3 461)	–	(3 461)	–